

### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplus.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/767,397

Tomoyuki Ito

008601-0307943

00909 PILLSBURY WINTHROP, LLP

P.O. BOX 10500 MCLEAN, VA 22102



**CONFIRMATION NO. 2672** 

**FORMALITIES LETTER** 

\*OC000000012951942\*

Date Mailed: 06/15/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/21/2004 HLE333

00000058 033975

10767397

FILED UNDER 37 CFR 1.53(b)

01 FC:1001

770.00 DA

02 FC:1202 03 FC:1051

162.00 DA 130.00 DA

Filing Date Granted

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

· Additional claim fees of \$162 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1062 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$162
  - \$162 for 9 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Complete if Known

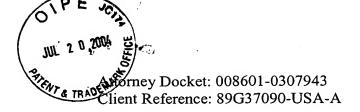
PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CCC TDANCMITTAL

FEE IKANSIMII IAL		Application Number		er 10/767,39	10/767,397			
for FY 2004 Effective 10/01/2003. Patent fees are subject to annual revision.			Filing Date			January 30, 2004		
			First Named Inventor			TOMOYUKI ITO		
Applicant claims small entity status. See 37 CFR 1.27			Examiner Name [			UNKNOWN		
Applicant claims small entity status. See 37 Of 11.27			Art Unit			3641		
TOTAL AMOUNT OF PAYMENT (\$) 1,102.00			Attorney Docket No. 00860			-0307943		
METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
Check Credit card Money Other None		3. ADDITIONAL FEES						
X Deposit Account:		_arge Entity   Small Entity Fee						
Deposit		Fee le (\$)	Fee Code	Fee (\$)	Fee De	Fee Description <u>Fe</u>		
Account 033975		1 130	2051	65	Surcharge - late fili	charge - late filing fee or oath 130		
Deposit Account Name PILLSBURY WINTHROP LLP		2 50	2052		cover sheet			
The Director is authorized to: (check all that apply)	105		1053			English specification filing a request for ex parte reexamination		
X Charge fee(s) indicated below X Credit any overpayments		2 2,520 4 920°	1812 1804	-		questing publication of SIR prior to		
X Charge any additional fee(s) or any underpayment of fee(s)		+ 920	1004	320	Examiner action	miner action		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		5 1,840*	1805	1,840*	Requesting publica Examiner action	ation of SIR after		
FEE CALCULATION		1 110	2251	55	Extension for reply	within first month	<u> </u>	
1. BASIC FILING FEE		2 420	2252	210	Extension for reply	within second month		
Large Entity Small Entity		3 950	2253		Extension for reply			
Fee Fee Fee Fee Pee Pee Pa Code (\$) Code (\$)	125	4 1,480	2254			within fourth month	I	
1001 770 2001 385 Utility filing fee 770.		5 2,010	2255	1,005	Extension for reply	within fifth month	I	
1002 340 2002 170 Design filing fee	140		2401		Notice of Appeal	<del></del>		
1003 530 2003 265 Plant filing fee	140		2402		Filing brief in suppo	I		
1004 770 2004 385 Reissue filing fee	140		2403		Request for oral hearing			
1005 160 2005 80 Provisional filing fee SUBTOTAL (1) (\$) 770.00		1 1,510	1451			tion to institute a public use proceeding		
		2 110	2452			tion to revive - unavoidable		
2. EXTRA CLAIM FEES FOR UTILITY AND REISS		3 1,330	2453 2501			ition to revive - unintentional		
Fee from Ext <u>ra Claims below</u> Fee P	150	1 1,330 2 480	2501		Design issue fee	ty issue fee (or reissue)		
Total Claims 29 -20** = 9 X 18 = 10	52 150		2503		Plant issue fee	· ·		
Independent Claims 3 - 3** = 0 X = 0  Multiple Dependent = 0		0 130	1460	130	Petitions to the Cor	ions to the Commissioner		
		7 50	1807	50	Processing fee und	essing fee under 37 CFR 1.17(q)		
Large Entity   Small Entity		6 180	1806	180	Submission of Info	rmation Disclosure Stmt		
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	802	1 40	8021	40	Recording each pa	atent assignment per	40.00	
1202 18 2202 9 Claims in excess of 20		9 770	2809			perty (times number of properties) g a submission after final rejection		
1201 86 2201 43 Independent claims in excess of	3				37 ČFR 1.129(a))		<del>  </del>	
1203 290 2203 145 Multiple dependent claim, if not p  1204 86 2204 43 ** Reissue independent claims			2810		or each additional invention to be examined (37 CFR 1.129(b))			
over original patent		1 770	2801		· · · · · · · · · · · · · · · · · · ·	nued Examination (RCE)		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		2 900	900 1802 900 Request for expedited examination of a design application					
	Oth	Other fee (specify)						
**or number previously paid, if greater; For Reissues, see above **Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 170.0							170.00	
SUBMITTED BY (Complete (if applicable)								
Name (Registration No. 2014 Telephone (702) 005 2110							10	
Signature  Signature  Date  July 20, 2004							10	

WARNING: Information on this form may become public Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 17-mad 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of:

TOMOYUKI ITO ET AL.

Confirmation No: 2672

Application No.: 10/767,397

Group Art Unit: 3641

Filed: January 30, 2004

Examiner: UNKNOWN

Title: METHOD AND APPARATUS FOR EXECUTING AN OPERATION IN A

PRESSURE VESSEL OF A NUCLEAR REACTOR

#### RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Application mailed June 15, 2004, a copy of which is enclosed, Applicant herewith submits the following:

Executed Combined Declaration and Power of Attorney of Inventors Recordation Cover Sheet and Assignment

Please see attached PTO/SB/17 for payment of fees.

Respectfully submitted,

PILLSBURY WINTHROP LLP

 $\mathbf{R}_{\mathbf{V}}$ 

Trey D. Karceski

Registration No. 35914

Telephone: 703-905-2110 Facsimile: 703-905-2500

Date: July 20, 2004 P.O. Box 10500 McLean, VA 22102

Customer No.: 00909